18-09-10

PTO/SB/21 (09-04) Approved for use through 07/31/2005. OMB 0651-003U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/824,380 Filing Date TRANSMITTAL April 15, 2004 First Named Inventor **FORM** Jeffrey GULLICKSEN et al. Art Unit 2616 **Examiner Name** Frank Duong (to be used for all correspondence after initial filing) Attorney Docket Number 10.0404.DIV 195 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement [Copies of IDS References - 190] Landscape Table on CD **Certified Copy of Priority** Remarks Document(s) Reply to Missing Parts/ Return Receipt Postcard Incomplete Application **Express Mail Certificate** Reply to Missing Parts Supplemental Information Disclosure Statement C.F.R. 1.97(c) (3 pages) under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name DOUGHERTY CLEMENTS Signature Printed name Christopher L. Bernard Reg. No. Date 48,234 August 8, 2006 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Barbara C. Brown

Typed or printed name

August 8, 2006



EXPRESS MAIL CERTIFICATE

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- EV 907435063 US

Date of Deposit:

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Type of Document(s):

- Transmittal Form (PTO/SB/21)

- Supplemental Information Disclosure Statement (3 pages)

- IDS Statement Form (PTO/SB/08A)
- Copies of IDS references (190 pages)

- Postcard Filing Receipt

Application No.:

- 10/824,380

Filed:

- April 15, 2004

Applicant(s):

- Jeffrey GULLICKSEN et al.

Title:

- VIRTUAL LINE SWITCHED RING (VLSR)

CONNECTION STATE DISTRIBUTION

SCHEME

Examiner:

- Frank Duong

Art Unit:

- 2616

Attorney Docket No.:

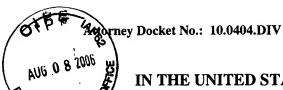
- 10.0404.DIV

I hereby certify that the above-referenced documents are being/have been deposited with the United States Postal Service "Express Mail Post Office to Addressee" in accordance with 37 CFR 1.10 on the above-referenced date and are being/have been addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 USA.

Barbara C. Brown

North Carolina State Bar/Certified Paralegal

asleasa C. Brown



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Jeffrey GULLICKSEN et al.

Application No.:

10/824,380

Title:

VIRTUAL LINE SWITCHED RING (VLSR)

CONNECTION STATE DISTRIBUTION

SCHEME

Filing Date:

April 15, 2004

Examiner:

Frank Duong

Art Unit:

2616

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 USA

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir/Madam:

Pursuant to Applicant's/Applicants' duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the documents listed on the attached Form PTO/SB/08A/08B are being brought to the attention of the Examiner in charge of the above-referenced Application.

The Examiner is respectfully requested to initial the space adjacent to the listing of each document on Form PTO/SB/08A/08B, and to return a copy of the initialed Form PTO/SB/08A/08B with the next communication to Applicant/Applicants to confirm that these documents have been considered by the Examiner and made of record in the Application.

This information is presented so that the U.S. Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) and 1.104(b) concerning the USPTO's duty to consider and use any

such information. It is respectfully requested that the information be expressly considered during the prosecution of the Application, and that the references be made of record and appear among the "References Cited" on any patent that issues therefrom.

This paper is being submitted in accordance with:

	37 C.F.R. § 1.97(b):					
		With the application;				
		Within 3 months of the filing date of a national application other				
		than a continued prosecution application under 37 C.F.R. §				
		1.53(d);				
		Within 3 months of the date of entry of the national stage as set				
		forth in 37 C.F.R. § 1.491 in an international application;				
/		Before the mailing of a First Office Action on the merits; or				
		Before the mailing of a First Office Action after the filing of a				
		request for continued examination under 37 C.F.R. § 1.114.				
র্ঘ	37 C.F.R. § 1.97(c) – after the period specified in 37 C.F.R. § 1.97(b) but					
	before the mailing date of any of a Final Office Action under 37 C.F.R. §					
	1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that					
	otherv	vise closes prosecution in this application, whichever is earlier; and				
	includ	es:				
	\square	The required statement made below; or				
		The \$180 fee specified in 37 C.F.R. § 1.17(p) for submission of				
		this IDS;				
	37 C.F.R. § 1.97(d) - after the period specified in 37 C.F.R. § 1.97(c) but					
	on or before payment of the Issue Fee; and includes:					
		The required statement made below; and				
	☐ The \$180 fee specified in 37 C.F.R. § 1.17(p) for submis					
1		this IDS;				
匃	37 C.I	F.R. § 1.97(e) – required statement:				

Attorney Docket No.: 10.0404.DIV

Each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS; or

No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this IDS.

If the fee of \$0 is incorrect, please contact undersigned Counsel at the earliest possible opportunity.

If the Examiner has any questions or wishes to discuss the Application, the Examiner is kindly encouraged to contact undersigned Counsel.

Respectfully submitted,

Date: <u>August</u> 8,2006

Ohristopher L. Bernard

Attorney for Applicant/Applicants

Registration No. 48,234

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/824,380			
Filing Date	April 15, 2004			
First Named Inventor	Jeffrey GULLICKSEN et al.			
Art Unit	2616			
Examiner Name	Frank Duong			
Attorney Docket Number	10.0404 DIV			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,122,753	09-19-2000	Masuo et al.	
	2	^{US-} 5,732,086 A	03-24-1998	Liang et al.	
	3	^{US-} 2001015979	08-23-2001	FUJITSU Ltd.	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	3	EP 0 645 905 A	03-29-1995	NEC Corporation		
***	4	WO 00/52890 A	09-08-2000	CIENA Corporation		
,	5	EP 0 804 001 A	10-29-1997	HITACHI Ltd.		
	6	JP 2001 186159 A	07-06-2001	FUJITSU Ltd.		
						L

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.